

12-17-01

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12/10/01  
JC962 U.S. PTO

PATENT APPLICATION  
B05593-FAL

J1011 U.S. PTO  
10/016378  
12/10/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Kenneth W. Wheelock  
Michael E. Konruff

Title: Gear Drive Housing Having a Continuously Variable Bearing Adjustment System with an Integral Seal Carrier

1. Type of Application

This new application is for a

- ☒ utility patent.
- ☐ design patent.

2. Application Papers Enclosed

- Title Page
- 5 Pages of Specification (excluding Claims, Abstract & Drawings)
- 1 Page(s) of Claims
- 1 Page(s) of Abstract
- 5 Sheet(s) of Drawings (Figs. 1 to 7 )
- ☐ Formal
- ☒ Informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on 10 Dec 2001, in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EK670203275US.

Chris Mattingly  
Signature of: Chris Mattingly

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**3. Declaration or Oath and Power of Attorney**

- ☐ Enclosed
  - ☐ Executed by (check all applicable boxes)
    - ☐ Inventor(s)
    - ☐ Legal representative of inventor(s)  
(37 CFR 1.42 or 1.43)
    - ☐ Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
  - ☐ The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed. See Item 5D below for fee.
- ☒ Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

**4. Additional Papers Enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement and IDS Transmittal Letter
- ☐ Declaration of Biological Deposit
- ☐ Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence
- ☐ Verified statement(s) claiming small entity status under 37 CFR 1.9 and 1.27
- ☐ Power of Attorney
- ☐ Verified translation of a non-English patent application
- ☐ An assignment of the invention and assignment transmittal letter
  - ☐ Certified copy(ies) of application(s):
  - ☐

COUNTRY	APPLICATION NO.	FILED

from which priority under 35 USC 119 is claimed: ☐ is(are) attached.

☐ will follow      ☐ Other.

# Filing Fee Calculation (37 CFR 1.16)

A. : Utility Application

CLAIMS AS FILED – INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370.00		\$740.00
TOTAL	10-20	= 0	X 9 =	\$	X 18 =	\$000.00
INDEP.	2-3	= 0	X 39 =	\$	X 78 =	\$000.00
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 130 =	\$	+ 260 =	\$000.00
Filing Fee:				\$	OR	\$740.00

B. ☐ Design Application (\$165.00/\$330.00)

Filing Fee: \$ \_\_\_\_\_

C. ☐ Plant Application (\$255.00/\$510.00)

Filing Fee: \$ \_\_\_\_\_

## D. Other Fees

☒ Recording Assignment [Fee -- \$40.00 per assignment]

\$ \_\_\_\_\_

☐ Petition fee for filing by other than all the inventors  
or person on behalf of the inventor where inventor refused  
to sign or cannot be reached [Fee -- \$130.00]

\$ \_\_\_\_\_

☐ Other

\$ \_\_\_\_\_

Total Fees Enclosed \$ \$740.00

6. **Method of Payment of Fees**

- ☐ Check in the amount of: \$
- ☒ Charge Deposit Account No. 50-1439 \$740.00  
A copy of this Transmittal is enclosed.
- ☐ Not enclosed

7. **Deposit Account and Refund Authorization**

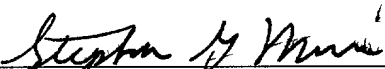
The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 or 37 CFR 1.17 which may be required by this paper to Deposit Account No. 50-1439. **A copy of this Transmittal is enclosed.**

Please refund any overpayment to the Hamilton Sundstrand Corporation at the address below.

Please direct all future communications to Eugene V. Feldman, at the address below:

Respectfully submitted,

Hamilton Sundstrand Corporation  
4747 Harrison Ave.  
P.O. Box 7002  
Rockford, IL 61125-7002  
(815) 226-6194

By:   
Stephen G. Mican  
Reg. No. 31,983

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor **WHEELOCK, Kenneth W.**

Title **Gear Drive Housing Having a Continuously  
Variable Bearing Adjustment System with  
an Integral Seal Carrier**

Atty Docket Number **B05593-FAL**

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

16 Dec 2002

Date

*Stephen G. Mican*

Signature

Stephen G. Mican

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**